

## **REQUIRED FORMAT FOR ADULT PSYCHOSEXUAL EVALUATION REPORTS**

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| <p>I. Preliminary Statement<br/>(See SOMB Guidelines)</p> <p>II. Identifying Information<br/>Name, birth date, age, evaluation date,<br/>criminal case number, etc.</p> <p>III. Synopsis</p> <ul style="list-style-type: none"><li>a. Risk level – Low, Moderate or High</li><li>b. Amenability for treatment</li><li>c. Pertinent information for court<br/>consideration supported in body of<br/>report, including what lead to<br/>conclusions about risk level and<br/>amenability for treatment</li></ul> <p>IV. Referral Information and Nature of<br/>Evaluation</p> <ul style="list-style-type: none"><li>a. How referred for evaluation</li><li>b. Structure of the evaluation</li><li>c. Applicable statutes</li></ul> <p>V. Confidentiality</p> <ul style="list-style-type: none"><li>a. Evaluation confidentiality and how<br/>explained to examinee</li><li>b. Notice and Consent for Psychosexual<br/>Evaluation</li></ul> <p>VI. Sources of Information</p> <ul style="list-style-type: none"><li>a. List of tests and measures</li><li>b. Collateral information, interviews, other<br/>relevant sources</li></ul> <p>VII. Mental Status Examination and Psychological<br/>Symptoms</p> <ul style="list-style-type: none"><li>a. Standard mental status information and<br/>relevant psychological symptoms</li><li>b. Appearance and behavior observation</li></ul> <p>VIII. Background, Criminal and Social History</p> <ul style="list-style-type: none"><li>a. Developmental history</li><li>b. Interpersonal relationships</li><li>c. Medical history</li><li>d. Mental health history</li></ul> | <ul style="list-style-type: none"><li>e. History of harm to self or others</li><li>f. Education, employment, and/or military</li><li>g. Prior and current criminality or<br/>delinquency</li><li>h. Substance use and/or abuse</li><li>i. Prior responses to juvenile or adult<br/>justice system or other interventions</li><li>j. Family of origin history</li><li>k. Recreation/leisure</li><li>l. Cultural/spiritual</li><li>m. Capacity to identify problems/solutions</li></ul> <p>IX. Description of Current Offense(s)</p> <p>X. Sexual History Behavior</p> <ul style="list-style-type: none"><li>a. Sexual development, early experiences</li><li>b. History of age-appropriate, consensual,<br/>non-coercive sexual relationships</li><li>c. History of being subjected to non-<br/>consensual or coercive sexual behaviors</li><li>d. Historical and current sexual interests,<br/>fantasies, practices/behaviors</li><li>e. Sexual functioning, sexual dysfunction</li><li>f. Use of sexually oriented materials or<br/>services</li><li>g. Prior sexual offender treatment</li><li>h. Intent related to treatment</li><li>i. Offense-related sexual arousal, interests<br/>and preferences</li><li>j. Evidence or characteristics of paraphilias</li><li>k. History of sexually abusive behaviors,<br/>documented and unreported</li><li>l. Number of victims identified through<br/>credible records or sources</li><li>m. Current and previous victim-related<br/>factors</li><li>n. Contextual elements of sexually abusive<br/>behaviors</li><li>o. Attitudes supportive of sexually abusive<br/>behavior</li><li>p. Demonstrated level of insight, self-<br/>disclosure, denial, and minimization<br/>relative to sexually abusive behavior</li></ul> |
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- XI. Psychological Test Results (Personality and mood, and Intellectual functioning measures are required; remaining categories are recommended but at the discretion of the evaluator)
  - a. Personality and mood – must use at least one of
    - i. MMPI-2
    - ii. MCMI-III
  - iii. PAI
  - b. Intellectual functioning – must use one of
    - i. Shipley (preferred)
    - ii. WAIS-IV (preferred)
    - iii. Other validated assessment tool
  - c. Psychopathic tendencies – (recommended but optional) such as
    - i. PPI-R
    - ii. PCL-R (Hare)
    - iii. Other validated assessment tool
  - d. Substance abuse – (recommended but optional) such as
    - i. SASSI-3
    - ii. GAIN-I (core)
    - iii. Other validated assessment tool
  - e. Additional optional testing, but not exclusive to
    - i. STAXI-2 (anger)
    - ii. HCR-20
    - iii. SVR-20
    - iv. LSI-R

XII. Current DSM Diagnosis

- XIII. Specialized Risk Assessment Measures and Measures of Sexual Behavior
 

All risk assessments must be used in accordance with the assessment’s standards. He evaluator will determine whether use of a specific assessment is appropriate based on the individual case. If a required assessment is not used, the provider must explain why.

  - a. Risk assessment *must include*
    - i. STATIC-99 or STATIC-99R
    - ii. STABLE 2007
  - b. Measurement of sexual behavior *must include*
    - i. MSI-II

- c. Additional optional measures, but not exclusive to
  - i. ACUTE 2007
  - ii. SORAG
  - iii. VASOR
  - iv. Viewing time measure (e.g. Abel screen)
  - v. Phallometric testing
  - vi. Polygraph

- XIV. Risk Factors
  - a. Static Factors
  - b. Dynamic Factors
  - c. *Attach Sex Offender Risk and Protective Factors Checklist*

- XV. Risk Level
  - a. Estimated classification – Low, Moderate or High

- XVI. Potential for Future Harm (optional but encouraged)
  - a. Level of awareness or insight into potential harm/impact on the victim(s) and others
  - b. Most likely victim type and potential harm they could experience based on literature

- XVII. Amenability for Intervention and Treatment and Recommended Treatment Focus

- XVIII. Additional Suggestions for Management (optional)

## **SEX OFFENDER RISK AND PROTECTIVE FACTORS CHECKLIST**

The evaluation must support the identification or rule-out of any risk or protective variable identified on this checklist.

### **STATIC RISK FACTORS**

- \_\_\_ Offender's age under 35
- \_\_\_ History of paraphilia(s)
- \_\_\_ History of impulsiveness -or- recklessness
- \_\_\_ History of major mental illness (including mood disorder -or- personality disorder -or- psychotic disorder)
- \_\_\_ History of substance abuse or dependency as an adolescent
- \_\_\_ History of substance abuse or dependency as an adult
- \_\_\_ Having ever had a sexual victim that was not related
- \_\_\_ Having ever had a sexual victim that was a stranger (knew less than 24 hours)
- \_\_\_ Having ever had a sexual victim that was a male
- \_\_\_ Having one prior conviction for a sexual offense -or- one to two prior charges for sexual offenses with no convictions
- \_\_\_ Having two to three prior convictions for sexual offenses -or- three to five prior charges for sexual offenses with no convictions
- \_\_\_ Having four or more prior convictions for sexual offenses -or- six or more prior charges for sexual offenses with no convictions
- \_\_\_ History of high density of sexual offending
- \_\_\_ History of a conviction for a non-contact sexual offense
- \_\_\_ History of multiple sexual offense behaviors and types
- \_\_\_ History of grooming a sexual victim
- \_\_\_ History of childhood aggression or violence problems prior to age of 15
- \_\_\_ History of aggression or violence at and/or after age 15 (including adulthood)
- \_\_\_ Victim being physically injured in the course of the sexual offense being committed
- \_\_\_ Use of a weapon or threat of death occurring during the sexual offense
- \_\_\_ History of family of origin instability associated with the offender not living with parents until age 16
- \_\_\_ History of family of origin instability associated with family members having mental illness and/or substance abuse issues
- \_\_\_ History of family of origin instability associated with family members having antisocial or criminal issues

- \_\_\_ History of family of origin instability associated with the offender witnessing abuse of other family members
- \_\_\_ History of the offender experiencing abuse (physical, emotional, or sexual)
- \_\_\_ Offender having no history of a long term relationship (never living with lover/romantic partner for two years or longer)
- \_\_\_ History of antisocial influences or affiliations
- \_\_\_ History of problems with school performance
- \_\_\_ History of employment issues
- \_\_\_ History of discipline issues in elementary school (1<sup>st</sup> through 8<sup>th</sup> grade)
- \_\_\_ History of discipline Issues in high school (9<sup>th</sup> through 12<sup>th</sup> grade)
- \_\_\_ Displaying antisocial behavior prior to the age of 15
- \_\_\_ Displaying antisocial behavior at and/or after age 15 (including adulthood)
- \_\_\_ Having a prior arrest history that includes four or more convictions prior to the index sexual offense
- \_\_\_ History of discipline issues while incarcerated
- \_\_\_ Psychopathy
- \_\_\_ History of treatment failure
- \_\_\_ History of supervision failure

**DYNAMIC RISK FACTORS**

- \_\_\_ Unstable or untreated major mental illness (including mood disorder -or- personality disorder -or- psychotic disorder)
- \_\_\_ Negative mood -or- anger issues
- \_\_\_ Poor emotional regulation
- \_\_\_ Poor impulse control
- \_\_\_ Fragile narcissism
- \_\_\_ Poor insight
- \_\_\_ High stress lifestyle
- \_\_\_ Unstable or untreated substance abuse or dependency
- \_\_\_ Unstable or untreated paraphilia(s)
- \_\_\_ Emotional congruence with children
- \_\_\_ Attitudes supporting sexual offending
- \_\_\_ Adversarial sexual beliefs
- \_\_\_ Sexual entitlement
- \_\_\_ Sexual preoccupation

- \_\_\_ Deviant sexual preference
- \_\_\_ Sex as a method for coping
- \_\_\_ Extreme minimization of a sexual offense
- \_\_\_ Victim blaming
- \_\_\_ Loneliness or social rejection
- \_\_\_ Relationship instability
- \_\_\_ Problems developing and/or maintaining pro-social relationships
- \_\_\_ Problems avoiding negative and/or antisocial relationships
- \_\_\_ Hostile beliefs toward women
- \_\_\_ Insufficient fear of punishment or consequences
- \_\_\_ Pride in delinquent or antisocial behavior
- \_\_\_ Failure to recognize risk to re-offend
- \_\_\_ Callousness or lack of concern for others
- \_\_\_ Beliefs that support the manipulation of others
- \_\_\_ Attitudes supporting an antisocial lifestyle
- \_\_\_ Propensity to break rules
- \_\_\_ Poor problem solving skills
- \_\_\_ Negative attitudes towards treatment -or- unresponsiveness to treatment -or- rejection of treatment
- \_\_\_ Negative attitudes towards supervision -or- unresponsiveness to supervision -or- rejection of supervision
- \_\_\_ Lack of feasible plans to prevent offender from re-offending

## **ADULT SEX OFFENDER PROTECTIVE FACTORS**

*The following is a list of protective factors which can reduce an examinee's risk to re-offend. Some of these protective factors are directly supported by empirical research, and some are inferred from the evolving psychological literature. Many professionals in the field commonly consider these protective factors when estimating risk, and Idaho's psychosexual evaluators are encouraged to utilize this list to assist in determining an examinee's estimated risk to re-offend.*

### **GENERAL**

Age over 40

Age over 60 (stronger protective variable than age 40)

### **FAMILY OF ORIGIN**

Having a stable childhood with no family history of psychological or substance abuse issues

Having no history of a family with criminal issues

Having no history of criminal peer relations

Having no history of abuse (physical, emotional, or sexual)

Having a family that represents pro-social behavior

### **SCHOOL AND EMPLOYMENT**

Having no history of discipline issues in school

Having no history of problems with school performance (grades, peers, completion of school)

Functioning well in an employment setting (maintaining long term employment, no current conflicts with peers or authority, advancement in career)

Functioning well in a school setting

### **MENTAL**

Having no history of serious mental health issues

Having no history of impulsiveness or recklessness

Having emotional issues that are well managed

### **VIOLENCE**

Having no history of violence

### SUBSTANCE ABUSE

Having no history of substance abuse

Maintaining ongoing sobriety (if examinee has a history of substance abuse)

### SEXUAL

Having no prior history of sexual offense

Having a satisfying and appropriate sexual relationship

### RELATIONAL

Having a history of living with lover for two years of longer

Having a current romantic relationship that was functioning well

Engaging pro-social people in support network

Ability to avoid negative relationships

### CRIMINAL

Having no prior or very limited arrest history

Having pro-social attitudes

Having no history of criminal peer relations

### TREATMENT AND SUPERVISION

Having no history of mental health, substance abuse or sexual offender treatment failure

Having no history of supervision failure

Having a positive attitude toward treatment

Having a positive attitude toward supervision

Successful completion of treatment

Successful completion of supervision

### RECREATIONAL

Engaging in healthy, pro-social recreational activities

Having recreational activities that are effective at reducing stress